

A conceptual issue on the statistical determination of the neutrino velocity

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Abstract

We discuss a conceptual issue concerning the neutrino velocity measurement, in connection with the statistical method employed by the OPERA collaboration for the inference of the neutrino time of flight. We expound the theoretical framework that underlies the delicate statistical procedure illustrating its salient aspects. In particular, we show that the order of the two operations of sum and normalization used to combine the single waveforms so as to build the global PDF is a crucial point. We also illustrate how a consistency check able to test correctness of the PDF-composing procedure should be designed.

1. Introduction

The OPERA collaboration has recently reported [1] on a smaller time of flight of CNGS muon neutrinos with respect to that expected assuming propagation at the speed of light in vacuum.¹ A few weeks ago the OPERA collaboration has identified two possible instrumental effects that could have influenced its neutrino timing measurement. Furthermore, a few days ago, an independent measurement performed by the ICARUS collaboration [2] has found no evidence of neutrino superluminal propagation, thus rejecting the anomalous OPERA result.

Notwithstanding, measuring with better precision the velocity of neutrinos remains an important goal and other collaborations are already at work with this purpose. In such a landscape, any issue of interest to the OPERA collaboration is inherently of interest to a larger part of the scientific community. With this spirit, in this paper, we address a conceptual issue pertaining the statistical procedure employed by the OPERA collaboration to infer the neutrino velocity.

While referring to the OPERA case for definiteness, our considerations will be valid for any kind of long-baseline setup, which makes use of proton waveforms as neutrino pulsed sources. We stress that our discussion is independent of the width of the proton waveforms, and is relevant also for short pulses measurements as those performed in a second phase by the OPERA collaboration and by ICARUS, and which (presumably) will be adopted also by

¹Unless explicitly stated we always refer to the second version of the OPERA preprint. The first version is mentioned only in the footnote (4) and in the final note added at the end of the paper.

future experiments. As we will show in detail, any kind of neutrino velocity measurement performed at a long-baseline detector is intrinsically *a statistical measurement* which relies upon the conceptual framework we are going to expound.

2. A basic question

We remind the reader that the first measurement performed by OPERA is based on a statistical comparison of the time distribution of the protons ejected at CNGS (equivalent to that of the emitted neutrinos) with that of the neutrinos observed in the OPERA detector, where a total number $N \sim 15000$ of interactions have been recorded. The time distributions (waveforms) of protons have been measured for each $10.5 \mu\text{s}$ -long extraction for which neutrino interactions are observed in the detector. Then, from their combination, the global probability density function (PDF) of the neutrino emission times is obtained. Finally, such an emission PDF is compared with the time distribution of the detected neutrinos through standard maximum likelihood analysis.

It should be noted that such a kind of procedure tacitly assumes that it is possible to make a one-to-one correspondence between neutrino interactions and proton waveforms. Although perfectly legitimate and very reasonable, such an assumption leads to conceptual consequences that must be taken into proper account in the procedure itself. The main point is the following. The aforementioned one-to-one correspondence is formally equivalent to assume a certain degree of prior knowledge on the neutrino velocity. With it, we are declaring of being certain² that a given neutrino interaction has been produced by a neutrino emitted by a given proton extraction whose duration is about $10.5 \mu\text{s}$.³ Now, the question naturally arises: Which is the weight one has to attach to a given proton waveform in the global PDF? In our opinion it must be equal to the number n_i of detected neutrinos associated to the corresponding (i^{th}) extraction and *be independent* on the intensity of the waveform. Varying the index i , the number n_i is almost always zero, sometimes it is one, and more rarely it is a bigger integer number. It is this integer number to inform us on how much a particular waveform effectively contributed to determine the estimate of the neutrino time of flight, and not the intensity of that waveform (or any other weight factor proportional to it).

As an example, suppose that, because of a rare statistical fluctuation, we had found a high number of detected neutrinos (say $n_1 = 10$) associated to a given waveform W_1 having intensity I_1 not much different from that of all

²At a formal level, the declared prior degree of knowledge on the neutrino velocity v corresponds to impose that the conditional probability $P(B|A)$ of the event B given the event A is equal to one, where, in the situation under study: The (conditioning) event A is represented by a neutrino interaction at a time t_A , and the (conditioned) event B is the emission of such a neutrino by a waveform departed around the earlier time $t_B = t_A - L/v$, from a source located at a known distance L from the neutrino interaction point. It is worthwhile to underline the unusual (inverted) time-ordering of the two events A and B ($t_B < t_A$), which is responsible for what may seem counterintuitive conclusions.

³Of course such a kind of one-to-one correspondence is unavoidable also when using shorter neutrino bunches. In any case, given the time of a neutrino interaction in the detector, one can identify the originating bunch (and discard the remaining ones) only making some assumption on the neutrino velocity.

the other waveforms. This means that the shape information encoded by W_1 is represented ten times in the time distribution of the N detected neutrinos, independently of its (particularly low) intensity I_1 . As a further example, suppose that, again for a rare statistical fluctuation, we had found that a given waveform W_2 having a smaller-than-average intensity I_2 (say one tenth of the “normal” intensity), all the same, has produced one neutrino interaction ($n_2 = 1$) in OPERA. Also in this case, what matters is the number (one) of detected neutrinos, and not the waveform intensity. Although having a very low intensity, the waveform W_2 has indeed provided an amount of information on the neutrino time of flight, which is equal to that furnished by any of those other waveforms that have produced, like W_2 , only one interaction.

A global PDF faithfully representative of *the emitted neutrinos associated to the detected ones* will contain only a (very) partial information on the fluctuations of the intensity of the individual waveforms. Most of this information gets lost in the poissonian neutrino detection process which, discretizing the original information upon the waveform intensity, loses almost any memory of it. The only (partial) account of the intensity of a given waveform W_i is that provided by the number n_i of detected neutrinos associated to it. Accordingly, when building the global PDF, one should *first* normalize to n_i each single proton waveform and *then* sum them together (obtaining automatically, by construction, a sum equal to the total number N of the observed events). The correct order of the two operations of sum and normalization is thus: *First normalize and then sum*.⁴

3. A gedanken-experiment

In order to elucidate the importance of the ordering of the two operations, the following *gedanken-experiment* can be envisaged. Suppose that we start from the hypothesis that the neutrino velocity is well known and has a true value v_{true} . Suppose also that all the proton $10.5\mu\text{s}$ -long waveforms are identical and represented by the positive definite function $g(t)$ having unitary area. Note that the first hypothesis is of the same character of that made by OPERA: It is only quantitatively stronger. Indeed, it just implies a more precise *a priori* association among neutrino interaction times and proton extraction times. The second one renders the global PDF identical to the function $g(t)$ modulo a multiplicative factor (the total number N of detected neutrinos). Now, suppose that we are able to measure the neutrino time of arrival with a precision δt much smaller than the waveform width. Then, for each interacted neutrino we can identify the time when it has been emitted at a given distance L with precision δt . This means that within the three-years-long time series emitted at the CNGS, we can identify a small time interval of duration δt during which the detected neutrino has been emitted. By construction, this will be always a sub-interval of the associated longer

⁴This is the opposite order with respect to that apparently advocated by the OPERA collaboration in the first version of [1]. See the note added at the end of our paper.

proton extraction.

This presumed knowledge entitles us to retain only that sub-interval from the total longer extraction, discarding all the rest of it. By repeating such a procedure for all the neutrino interactions, we will obtain a series of N δt -long time sub-waveforms. Each of them will be nothing else than a thin slice of the original waveform centered around a time t lying in the interval $[0, 10.5]\mu s$, and having height equal to $g(t)$. The N sub-waveforms can be combined together as to obtain a new global probability density function. It is not difficult to realize that, if one combines the single sub-waveforms by first summing them together and then performing the normalization of their sum, a global PDF will be obtained, which will be different from the original waveform function $g(t)$. Indeed, with this method, at each value of the time t inside the $10.5\mu s$ -long interval, the original waveform will be counted (erroneously) two times: A first counting factor comes from the fact that the number of times the small sampling sub-interval will lie around t is proportional to $g(t)$ itself; A second counting factor arises from the fact that, with such a method, the area under any sub-waveform [simply given by $\delta t \times g(t)$] will be proportional to $g(t)$, the height of the sub-waveform itself.

In the limit $\delta t \rightarrow 0$ a PDF proportional to $g^2(t)$ will be generated. This is clearly a paradoxical result: By hypothesis all the waveforms are identical and equal to the function $g(t)$ and therefore we must recover a PDF proportional to $g(t)$ if our PDF-composing method is not biased. It is not difficult to recognize that the only way to resolve the paradox and obtain a PDF proportional to $g(t)$ is to invert the order of the two operations of sum and normalization, performing *first the normalization and then the sum*. In this case, for any value of t , the original waveform is counted (correctly) only one time, since the second of the counting factors mentioned above is now removed, as the area under each sub-waveform is normalized to one (and it is no more proportional to $g(t)$ as it occurs with the wrong method) before the summing procedure is performed.

4. Effect of the mis-weighting procedure in the real setup

Let us now try to gauge the effect the wrong procedure would produce in the real setup. To this purpose, it is useful to make the following preliminary observations: (I) In the OPERA setup the number of detected neutrinos n_i associated to any given waveform W_i can be assumed to be always equal to zero or one, as the probability a waveform originates more than one event is extremely low. This implies that the number of relevant waveforms is identical to that (N) of the neutrino events;⁵ (II) Each of the N waveforms is embedded in a time reference frame provided by its own digitation window [see also the discussion presented after Eq (18)]; (III) Before the waveforms' summation is performed such N reference frames are superimposed with their origins aligned. At this point one deals with one

⁵While this circumstance simplifies the mathematical treatment presented below, its generalization as to include the cases in which n_i can be bigger than one is not difficult to attain. A result valid in such a more general case is provided in the footnote inserted before Eq. (14).

single time reference frame, common to all waveforms. In general, in such a reference frame, different waveforms will have different average times

$$T_i = \frac{\int dt t W_i(t)}{\int dt W_i(t)}. \quad (1)$$

Furthermore, in general, one expects different waveforms will have different intensities

$$I_i = \int dt W_i(t). \quad (2)$$

When using the correct composing method the intensities I_i 's are irrelevant as all the waveforms are assigned identical (unitary) weight in the PDF, which can be expressed as

$$F(t) = \sum_{i=1}^N \frac{W_i(t)}{I_i} = \sum_{i=1}^N w_i(t), \quad (3)$$

where we have introduced the auxiliary waveforms

$$w_i(t) \equiv \frac{W_i(t)}{I_i} = \frac{W_i(t)}{\int dt W_i(t)}, \quad (4)$$

having unitary normalization. In this case, the global PDF is automatically normalized to the total number N of detected neutrinos. When using the alternative wrong method, each waveforms is assigned a weight factor a_i proportional to its intensity I_i , and the PDF can be expressed as

$$F'(t) = \sum_i^N W_i(t) = \sum_i^N a_i w_i(t). \quad (5)$$

In this case the correct normalization of the PDF is obtained by imposing the condition

$$\sum_{i=1}^N a_i = N, \quad (6)$$

equivalent to set equal to one the average value of the amplitudes ($\langle a_i \rangle = 1$). The average time of the correct PDF is

$$\langle T_i \rangle = \frac{1}{N} \sum_{i=1}^N T_i, \quad (7)$$

while that obtained with the wrong PDF is

$$\langle T_i \rangle' = \frac{1}{N} \sum_{i=1}^N a_i T_i, \quad (8)$$

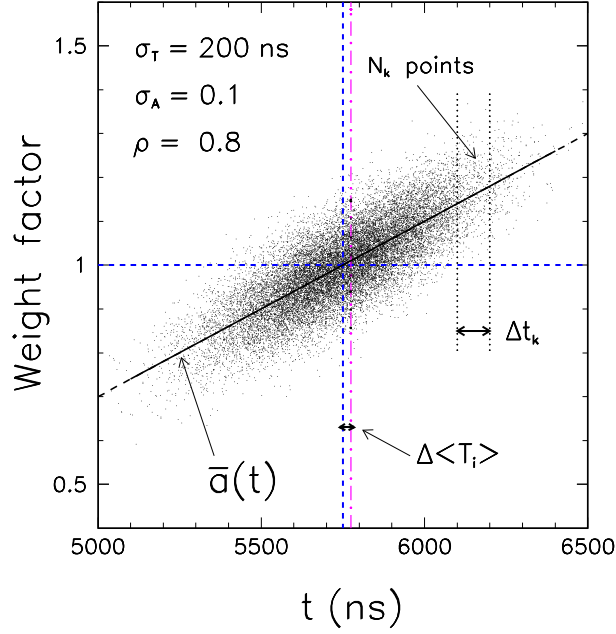


Figure 1: Toy scatter plot of the amplitudes versus the mean times of 15000 waveforms. The points have been extracted from a bivariate normal distribution with parameters indicated in the plot. The band delimited by the two vertical dotted lines indicates a generic time interval Δt_k centered around \bar{T}_k , which contains N_k points [see the discussion of Eq. (10)]. The dashed (dotted-dashed) vertical line indicates the average time obtained with the correct (incorrect) PDF-composing procedure. The difference between of the two averages is $\Delta \langle T_i \rangle \sim 18$ ns. See the text for details.

the difference among the two estimates being

$$\Delta \langle T_i \rangle \equiv \langle T_i \rangle' - \langle T_i \rangle = \frac{1}{N} \sum_{i=1}^N (a_i - 1) T_i. \quad (9)$$

One can always time-order the N times T_i 's and divide the time interval $[T_1, T_N]$ in an integer number K of subintervals Δt_k 's of equal-width Δt . In this way the time shift takes the approximate form

$$\Delta \langle T_i \rangle \simeq \frac{1}{N} \sum_{k=1}^K (\bar{a}_k - 1) \bar{T}_k N_k, \quad (10)$$

where N_k is the number of waveforms having mean time lying within the k -th subinterval positioned around their average time \bar{T}_k , and obeying the normalization condition

$$\sum_{k=1}^K N_k = N, \quad (11)$$

while \bar{a}_k designates the average of their weight factors. The procedure outlined above can be better appreciated with the help of Fig. 1, which displays a toy scatter plot of 15000 points, each one representing a waveform with average

time T_i and amplitude a_i . In the limit $N \rightarrow \infty$ it makes sense to consider the limit $\Delta t \rightarrow 0$, which allows us to establish the following correspondences

$$N_k \rightarrow \frac{dN}{dt} dt, \quad (12)$$

$$\bar{a}_k \rightarrow \bar{a}(t). \quad (13)$$

The density of points dN/dt can be identified (apart from a proportionality factor) with the statistical distribution [call it $f(t)$] of the waveforms' mean-time variable t , while $\bar{a}(t)$ represents the conditional expectation value of the amplitude variable a at a given value of the variable t . In the continuous limit the discrete sums in Eq. (10) are replaced by integrals, thus obtaining for the time shift⁶

$$\Delta\langle T \rangle \equiv \lim_{\Delta t \rightarrow 0} \Delta\langle T_i \rangle = \frac{\int dt [\bar{a}(t) - 1] t f(t)}{\int dt f(t)}. \quad (14)$$

In order to proceed to the evaluation of the integrals in Eq. (14) we must make some (reasonable) assumptions on the form of the statistical distribution of the mean times T_i 's and of the associated amplitudes a_i 's. As a working hypothesis, it seems plausible to assume that their values are extracted from a bivariate normal distribution,⁷ characterized by the two standard deviations σ_T and σ_A and by the correlation coefficient ρ . In such a case, the following linear relation will hold

$$\bar{a}(t) = \langle a \rangle + \beta(t - \langle t \rangle), \quad (15)$$

where $\langle t \rangle$ and $\langle a \rangle$ designate the averages of the two random variables and the coefficient β is given by⁸

$$\beta = \rho \frac{\sigma_A}{\sigma_T}. \quad (16)$$

⁶In the general case, in which more than one interaction per waveform is present, it can be shown that Eq. (14) generalizes as follows. The integral at the numerator is replaced by the weighted sum of integrals $\sum n p_n I_n$, where p_n is the probability that a waveform originates a number n of neutrino events and $I_n = \int dt [\bar{a}_n(t) - 1] t f_n(t)$. The functions $f_n(t)$ are the statistical distributions (normalized to unity) of the mean times of the waveforms associated to the n -tuple of events. The functions $\bar{a}_n(t)$ are the conditional expectation values of the amplitudes of the same waveforms at a given mean time t . By construction, being $\sum p_n = 1$, the denominator $\sum p_n \int f_n(t) dt$ is unitary. In the OPERA setup, we estimate $p_2 \sim 10^{-5}$, so the “higher-order” terms beyond the first one (I_1) accounted for in Eq. (14) give a negligible contribution.

⁷To be precise, at a conceptual level, it would be more correct to make such kind of assumptions at the level of the distribution of N waveforms *randomly extracted* from the three-year-long train made of million of waveforms and then extract from this one the distribution of the N waveforms associated to the detected neutrinos. Indeed, these last ones constitute a biased sample of the original distribution, as the neutrino events tend to select the most intense waveforms, being the probability to originate a neutrino event proportional to the waveform intensity. If $G(a)$ is the native distribution of the waveforms' intensities, that one sampled by the neutrino events will be $aG(a)$. In the case under study, the amplitude a varies only a few around unity and such a bias introduces only a small distortion from the native distribution, entitling us to neglect such an effect.

⁸We remind the reader that two bivariate normal random variables X and Y (also said to be “jointly gaussian”), enjoy the property $E(Y|X) = E(Y) + \rho \frac{\sigma_Y}{\sigma_X} [X - E(X)]$, where $E(Y|X)$ is the conditional expectation of Y given X .

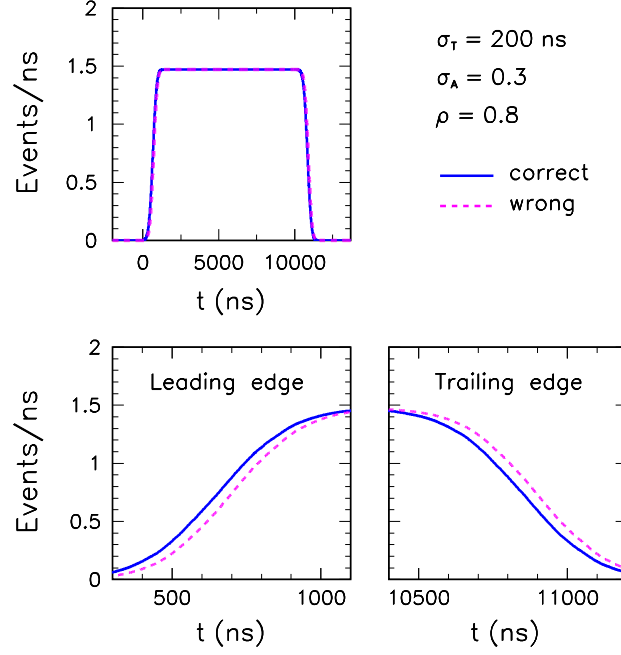


Figure 2: Effect of the incorrect procedure of PDF-composing. Both PDFs have been obtained summing up 15000 simulated rectangular waveforms having a fixed width of $10.2\mu s$. In one (correct) case the mean times are random numbers extracted from a gaussian distribution with standard deviation σ_T , while the weight factors are identical and unitary. In the other (wrong) case both the mean times and the weight factors are random numbers extracted from a bivariate normal distribution with standard deviations σ_T and σ_A and a correlation coefficient ρ . In the lower panels, representing a zoom of the edges of the two PDFs, a shift $\Delta\langle T_i \rangle \sim 48$ ns of the average time can be appreciated. The dispersion of the amplitudes σ_A has been taken three times bigger than its real estimated value for a better visual clarity.

Taking into account that the average amplitude [see Eq. (6)] is unitary by construction, Eq. (15) becomes

$$\bar{a}(t) = 1 + \rho \frac{\sigma_A}{\sigma_T} (t - \langle t \rangle). \quad (17)$$

Such a linear relation is represented by the regression line shown in Fig. 1. The distribution $f(t)$ in Eq. (14) is by construction a gaussian distribution with standard deviation σ_T , being a marginal distribution of the native bivariate normal distribution. By substituting Eq. (17) in Eq. (14), and making use of elementary gaussian integrals, one finally arrives at the result

$$\Delta\langle T \rangle = \beta \sigma_T^2 = \rho \sigma_A \sigma_T. \quad (18)$$

Equation (18) makes explicit what could be grasped on an intuitive basis: In the presence of a non-zero correlation among the waveforms' mean times and their intensities, the use of the wrong procedure of PDF-composing leads to a shift of the average time of the PDF. In particular, for a positive (negative) correlation coefficient the wrong method leads to an over(under)-estimation of the average neutrino emission time, and a consequent under(over)-estimation of its time of flight. Figure 2 illustrates such an effect on a toy PDF generated by combining 15000 rectangular waveforms

of equal width, and having mean times and intensities distributed according to a bivariate normal distribution. In this simple case, the shift of the average PDF time merely manifests itself as a shift of its edges.⁹

Let us now try to quantify the three parameters entering Eq. (18): (I) A reliable estimate of the dispersion of the intensities can be deduced from the documentation publicly available on the CNGS website [4]. From Fig. 3 in [5] and the two figures shown at page 24 in [6]), we can infer $\sigma_A \sim 0.1$, at least for what concerns the 2009 and 2010 operational periods;¹⁰ (II) Without knowledge of the 15000 waveforms it is difficult to make a reliable estimate of their mean times' dispersion σ_T . An upper bound for this parameter can be derived by observing that the width of the PDF is bigger than that of the individual waveforms as a result of the broadening effect induced by the summing procedure. From Fig. 14 in [1] a PDF width of about $11.2 \mu\text{s}$ can be estimated, about 700 ns bigger than the width of the single waveforms. Assuming that the whole PDF enlargement derives from the dispersion of the mean times of the composing waveforms, we derive $\sigma_T \sim 200 \text{ ns}$. The reliability of such an estimate is corroborated by the toy Monte Carlo simulations used to produce the PDFs in Fig. 2, whose edges look quite similar to those obtained in the real case (see Fig. 14 in [1]). Of course, part of the broadening effect may come from other factors such as a variable width of the waveforms. Therefore, such an estimate must be intended as an upper bound; (III) Concerning the correlation coefficient ρ , we observe that for each waveform W_i , the start-time of the digitation window is the trigger-time of the kicker magnet (see Fig. 3 and the related discussion on pages 5 and 12 in [1]). Such a time is set by the Wave Form Digitizer (WFD) as the common (arbitrary) time origin for each waveform before the summation procedure. This implies that shorter (longer) waveforms will have a smaller (bigger) mean time. In turn, the discussion made in [7] (see the comment on Fig. 2 at page 4), reveals that more (less) intense waveforms have systematically a longer (shorter) duration. Therefore, it seems quite natural to expect a positive correlation coefficient ρ , although no firm conclusion can be traced without having direct knowledge of the 15000 waveforms.

Inserting in Eq. (18) the estimated value of σ_A and the upper bound for that of σ_T we deduce that, for a positive correlation $\rho \sim 1$, a maximal shift of about 20 ns can be induced. Such an upper bound can be slightly altered as a consequence of the following two factors: (I) A non-gaussian behavior of the intensities' and mean times' distributions; (II) The potential effects (not considered in our toy simulations) of the wrong PDF-composing procedure on the shape of the flat-top of the PDF.

⁹In a more realistic situation, the mis-weighting procedure will give rise also to differences in the shape of the rest of the PDF. However, our toy model should suffice to describe the salient aspects of the OPERA measurement, which is essentially sensitive to the position of the two PDF's edges.

¹⁰Such plots evidence a markedly asymmetric intensities' distribution, which presents a second peak at intensities much lower than their average value. Therefore, appreciable corrections to the gaussian approximation at the basis of our estimates are expected. It is hard to gauge them without knowledge of the mean times of the associated waveforms.

5. Relevance of the PDF-composing procedure to the future high precision short bunch measurements

Although we have discussed the case of long waveforms as those used in the first measurement by the OPERA collaboration, it is important to stress that our considerations are valid independently of the duration of the proton pulses used to inject the neutrinos. In particular, our main result provided in Eq. (18) remains valid for setups using very short bunches as those adopted by the OPERA collaboration for their second cross-check measurement and by ICARUS, and which (presumably) will be used by future high precision experiments.

One may be induced to think that the PDF approach is unnecessary when using very short pulses. However, this is not the case if one intends to obtain a precision on the neutrino time of flight comparable or smaller than the width of the bunches themselves, which are typically a few nanoseconds long. To this regard it is important to observe that in the case of OPERA and ICARUS the short-bunch measurements were performed with the sole purpose of (dis-)confirming the (quite large) shift of ~ 60 ns found in the first OPERA measurement, which is much bigger than the width of the short bunches (3 ns). For such a specific purpose, it was sufficient to generate a histogram of the neutrino interaction times (like that presented in Fig. 18 of [1] or in Fig. 3 of [2]) and check that it was statistically compatible or incompatible with the original shift of about 60 ns.

However, the situation would be completely different should one intend to use the short-bunch technique to measure time shifts (potentially) smaller than the bunch width itself. In this case, resorting to the PDF method appears to be inescapable, as it constitutes the only way to make a quantitative and precise comparison between the time distribution of the neutrino events and the detailed time structure of the emitted bunches. In such circumstances implementing the correct PDF-composing procedure will be essential.

6. A consistency test

In principle, a Monte Carlo test should allow the identification and the quantification of a problem in the procedure of PDF composing. However, we must note that this was not the case for the type of simulations performed by the OPERA collaboration. Indeed, according to the information reported in [1] (see page 19), and the more detailed documentation provided in the PhD thesis [3] (see page 132), it emerges that the correctness of the PDF has been assumed *a priori*. In fact, at page 19 of [1] one can read: “Starting from the experimental PDF, an ensemble of 100 data sets of OPERA neutrino interactions was simulated. Data were shifted in time by a constant quantity, hence faking a time of flight deviation”. This implies that the correctness of the PDF was assumed *a priori* and was not tested *a posteriori*.

This circumstance lead us to deem it useful to show how a consistency check may be performed in order to test the correctness PDF-composing procedure. In addition, we note that although we think we have clearly shown which

of the two methods is the correct one at the theoretical level, the reader may find useful to have at his disposal also a numerical test of the issue in question. For such a test one has to reproduce a high number of virtual experiments as follows. In each of them one should: (I) Propagate at the speed of light the few-years-long train made of millions of waveforms so as to generate $N \sim O(10^4)$ neutrino interactions in the detector with their own times;¹¹ (II) Identify the associated N waveforms; (III) Build a toy PDF using one of the two alternative methods we have discussed (first sum and then normalize and vice-versa); (IV) Compare such two PDF's with the distribution of the neutrino interactions times so as to derive an *a posteriori* estimate of the neutrino velocity. By repeating such a simulation a sufficiently high number of times, the proposed test will tell the experimenters if the used PDF-composing method is correct or not. Indeed, when using the correct PDF-composing procedure, the estimate of the neutrino velocity obtained *a posteriori* will turn out to be statistically compatible with that imposed *a priori* (the velocity of light). When using the wrong procedure, two possibilities may occur: (A) The *a posteriori* estimate of the neutrino velocity is different from its value assumed *a priori*, thus indicating that the adopted method is wrong and making possible to quantify the error it has induced; (B) The *a posteriori* estimate is compatible with that used *a priori*, thus indicating that the use of the wrong method has harmless consequences: Although wrong at a conceptual level, it induces an error that is quantitatively irrelevant. This second circumstance is what would occur in the presence of a negligibly small value of one (or more) of the three parameters (ρ, σ_A, σ_T) entering Eq. (18).

7. Conclusions

We have discussed a conceptual issue concerning the statistical measurement of the neutrino velocity. We have evidenced that: (I) There are in principle two ways to obtain the global PDF from the single waveforms (first sum and then normalize or vice versa); (II) The second is the correct one at a conceptual level; (III) The use of the incorrect method can lead to a wrong inference of the neutrino time of flight, an effect for which we have provided an analytical description; (IV) The Monte Carlo tests performed by OPERA would have been unable to identify a problem in the procedure of combination of the waveforms; (V) We have proposed a consistency check able to detect such a kind of problems.

¹¹It is conceptually insightful to observe that, in a faithful realization of the real experiment, one should always make propagate the individual waveforms and not the PDF as a whole, as done in the Monte Carlo test performed by the OPERA collaboration. In fact, the PDF should be thought as a mere statistical tool, resulting from a *mathematical* sum of waveforms. In general, the PDF cannot be interpreted as a unique big physical neutrino wave as if it were originated from a *physical* sum of smaller impulses. If done, such a conceptually wrong interpretation would ignore the intrinsic poissonian nature of the detection process, leading to erroneous conclusions.

Note added

The original motivation of our paper was provided by the observation that the OPERA collaboration originally had reported an incorrect statistical procedure in the first version of [1]. Indeed, reading that first version, it emerged that the single waveforms had been *first* summed together and *then* their sum had been normalized to the total number of neutrino interactions observed in the detector (see page 14 of the first version of [1]). The more extensive information provided in the PhD thesis [3] (explicitly mentioned in [1]) corroborated that circumstance (see pages 124-125 of [3]).

After our preprint appeared, a second version of the OPERA paper [1] has been posted on arXiv. Differently from the first one, the second version reports the correct PDF-composing procedure. The opposite and correct order of the two operations of summing and normalization is now mentioned (see page 17 of the second version of [1]), although no comment has been included to explain the change made on such a delicate point. At present no further investigation on the issue in question is possible from outside the OPERA collaboration, as the relevant raw data (the time stamps of the 15000 neutrino interactions and those of the associated proton waveforms) have not been made publicly available.

Acknowledgments

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